

Section #31

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION --
FILING DATE GRANTED**

Docket Number:
10020/11901

Application Number
09/136,342

Filing Date
August 19, 1998

Examiner

Art Unit
2875

Invention Title
**ORGANIC PHOTOSENSITIVE
OPTOELECTRONIC DEVICES WITH
TRANSPARENT ELECTRODES**

Inventor(s)
FORREST et al.

Address to:

Assistant Commissioner for Patents
Washington D.C. 20231
Box Missing Part

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231.

Dated: October 16, 1998

Signature:

Ronald J. Campbell
Ronald J. Campbell (Reg. No. 33,842)

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find the signed Declaration. A copy of the Notice to File Missing Parts of Application mailed September 4, 1998 is enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$65.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**. A small entity statement is being filed **simultaneously herewith.**

2. A duplicate copy of this sheet is enclosed.

Dated: October 16, 1998

By:

Ronald J. Campbell
Ronald J. Campbell (Reg. No. 33,842)
KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Transmittal Letter

Docket Number:
10020/11901

Application Number
09/136,342

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**ORGANIC PHOTOSENSITIVE
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to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: *Oct. 16, 1998*

Reg. No. 33,842

Signature: *Ronald J. Campbell*

Ronald J. Campbell

1. Please find enclosed the following documents filed in connection with the above-referenced application:

Appointment of Power of Attorney by Assignee of Entire Interest.

2. No fee is believed necessary however, the Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:

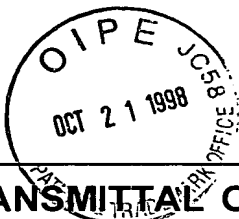
- A. Any additional filing fees required under 37 C.F.R. § 1.16;
- B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
- C. Any additional patent issue fees under 37 C.F.R. § 1.18;
- D. Any additional document supply fees under 37 C.F.R. § 1.19;
- E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
- F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

Dated: *Oct. 16, 1998*

By:

Ronald J. Campbell
Ronald J. Campbell (Reg. No. 33,842)

KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL OF SMALL ENTITY
STATEMENT AND REQUEST FOR
REFUND**

Docket Number:
10020/11901

Application Number
09/136,342

Filing Date
August 19, 1998

Examiner

Art Unit
2875

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**ORGANIC PHOTOSENSITIVE
OPTOELECTRONIC DEVICES WITH
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FORREST et al.

Address to:
Assistant Commissioner for Patents
Washington D.C. 20231

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Dated: October 16, 1998

Signature Ronald J. Campbell
Ronald J. Campbell (Reg. No. 33,842)
KENYON & KENYON

Enclosed are Verified Statements Claiming Small Entity Status from The Trustees of Princeton University; Global Photonic Energy Corporation for the above-referenced patent application.

Pursuant to 37 C.F.R. 1.28(a) and 1.26, Applicants request a refund of \$758.00 which is the excess amount of the filing fee timely paid prior to establishing Small Entity Status. The refund should be credited to Deposit Account No. 11-0600. A duplicate of this letter is enclosed.

Dated: October 16, 1998

By:

Ronald J. Campbell
Ronald J. Campbell (Reg. No. 33,842)
KENYON & KENYON
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(212) 425-7200 (telephone)
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/136,342	08/19/98	FORREST	S 10020/11901

0242/0904

KENYON & KENYON
ONE BROADWAY
NEW YORK NY 10004

NOT ASSIGNED

2875

DATE MAILED: 09/04/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE